

Resource Interconnection Management System 5 (RIMS5) Frequently Asked Questions (FAQs)

1. **Do we need to submit a User Access Administrator (UAA) Agreement form for each facility? Is it possible to bundle LLCs?**

Yes. A UAA Agreement form is required for each facility. For legal reasons, there should be one UAA Agreement form for each LLC unless the LLC is owned by the original organization. If so, we can assign that LLC to the original organization.

2. **How is the RIMS5 process different from the RIG certificate? Will this have an impact on the RIG certificate(s)?**

This is separate from the RIG certificate(s). The RIMS5 application is intended for the interconnection data.

3. **Is the Internet Explorer (IE) browser required for all individual RIMS users or just the UAA?**

All users including the UAA must use the IE 11 browser to access any CAISO applications.

4. **Are we required to have at least two UAAs? Can we add establish more than two UAAs?**

Yes. The organization must have at least two UAAs. They can also add more UAAs if desired.

5. **Does the AIM CMA digital certificate have an automatic expiration date?**

Yes. The digital certificate expiration dates are automatically generated once the user successfully installs the certificate through IE 11. The duration is set to 15 months out into the future.

6. **Does the UAA need RIMS5 for new meter(s) or maintenance projects?**

Yes. RIMS5 is required for new meter(s) and/or maintenance projects. Please note that there are only two project types are not included in RIMS5. They are the SQMD and DEPR projects.

7. **Does the meter maintenance work need to submit data through RIMS5 application?**

Yes.

8. Can the UAA assign Automatic Dispatch System (ADS) client certificates?

No. Access to ADS must be submitted by the Scheduling Coordinators' UAA. This will be done through the Application Access Request Form (AARF), which can be found at <http://www.caiso.com/Documents/ApplicationAccessRequestForm.xls>.

9. Where can the UAA renew the user's ADS certificate(s)? Will this be performed in RIMS or AIM?

The UAA can renew the ADS access through AIM. Please note that for new ADS requests, it will need to go through the AARF process.

10. Where can I find a copy of the instructions or user guide for the RIMS process?

The RIMS user guide is currently under review and will be published shortly.

11. When is the cutover time frame for the new projects with CAISO? If the project is not complete, will it still need RIMS5 application access?

The ISO will try to complete the projects in before the cut-over and then clear the calendar so that there is enough time to transition. After that, the UAA will be able to create and provision users' access.

12. Is there a deadline for the automatic uploads of the project IDs?

Yes. To help alleviate the transition to RIM, ISO will perform a one-time automatic bulk upload. However, the UAAs must submit the UAA agreement form(s) prior to 12/31/2017. Any UAA Agreement forms submitted after 12/31/2017 must list the Project IDs on the form.

13. How does this process relate to existing projects?

The RIMS5 application contains existing projects.

14. Where is the RIMS portal?

Users can go to www.caiso.com and navigate to the bottom of the page under "Related Websites". There, the user will find a link to the "Market Participant Portal". Note: The user must be established as an existing UAA in order to view the RIMS application on this landing page.

15. Can we fill out a UAA form for upcoming projects?

Yes. However, the UAA must keep in mind that they will not be able to provision any user to the Project IDs until it has been mapped to their UAA profile in AIM.

16. Can the existing UAA provision RIMS5 access if the contract type includes RIMS? If not, do they need to submit a new UAA form?

Yes.

17. How does this affect the third party meter vendors? Can the UAA provision access for third party vendors?

The UAA will need to provision access for the users for the resource owners that plan on submitting NRI meter project files.

18. What if the third party does not require meter data?

In those cases, the UAA will just need to provision access to RIMS5 only.

19. On the UAA form, there is a 100 character limitation, where can I enter more project codes?

The second page contains an extra box for additional Project Codes.

20. When filling out another UAA form, does it affect my existing projects in AIM?

No.

21. Once I registered as a UAA, how soon I access their NRI projects?

If you submitted your UAA request form before the end of 2017, you should see your NRI projects in AIM mid-January 2018.

22. Can a user be a UAA for multiple developers?

Yes.

23. If I already have access to RIMS cluster 9 but not cluster 10, do I still need to register?

Yes. ISO will migrate cluster 10 in the first quarter of 2018.

24. If I do not have any projects, do I still need to submit a UAA form?

If you anticipate future projects, you can submit your UAA form now. Otherwise, no.

25. If I already have RIMS5 access, so I need to submit another request? Will I see any changes after the mass upload of project IDs?

Only for projects that you do not have access to. Possibly more projects could get added to your profile if your name has been assigned to a project code.

26. Where do I find my contract types?

It is available in AIM, on the landing page. Please refer to the cob called "Contract Types".

27. I already have several contract types, so I need to check them all again when I re-submit the UAA form for RIMS5?

No. your current contract types will remain the same. You will need to select the RIMS5 check box contract type.

28. Does each generator site need to fill out the UAA form?

Yes. Only for the generator site that is not owned by the original organization.

29. Will I still be able to submit data through email if I am currently at the end of my project?

Once the RIMS5 application has been deployed, all changes will go through RIMS5.

30. IS there going to be a quick video tutorial on how to use RIMS5?

ISO is working on publishing a user guide.

31. Can a UAA representative be the same as the authorized signer?

No. The authorized signer has to be someone at your organization that can validate your employment.

32. Is there another way to schedule meter maintenance other than RIMS5? Does all meter maintenance need to go through RIMS5?

No. All meter maintenance must go through the RIMS5 application.